

## Summary of Audit Committee

Key summary points from the meeting held on **Friday 21 April 2017**:

### 1. Internal Audit

Five completed audits were presented

- i. Board Assurance Framework – it was noted that for the fifth year the Trust's processes were awarded 'Substantial' Assurance and the Committee were reminded of the need to see the BAF at least quarterly
- ii. Procedure and Procedure Compliance in Maternity services – it was noted that the initial assurance was 'Moderate' although Quality Committee will be reviewing this.
- iii. Counter Fraud Annual Report – the Committee was pleased to note that SaTH was the only Trust to be rated green by the Auditor due to their exemplary work in relation to the Code of Business Conduct and that referrals were higher than average and not done anonymously which indicated a positive reporting culture
- iv. Job Planning Review – There was some discussion around the need to transfer the current paper/spreadsheet system to an automated software approach to enable greater interrogation and access
- v. Estates – there were some concerns around a lack of documentation and controls in place, which will be monitored by the Workforce Committee.

### 2. Board Assurance Framework (BAF)

The Committee received the BAF for 2017/18 with the new strategic objectives aligned to the Trust's strategy and the new strategic risks identified. There was some discussion and the Committee approved the new Framework

### 3. Draft Annual Report and Annual Governance Statement

The Committee received both documents and noted these were required as part of the Annual Accounts. Some points were made which will be incorporated into final versions

### 4. Security Annual Report

The Committee received the 2016/17 and commended the Local Security management Specialist, Jon Simpson for his diligence and the Security Teams on both sites. It was noted that Mr Darbhanga was also the Lead Non-Executive Director for Security

### 5. Annual Self-assessment

The Committee completed the annual self-assessment and will discuss results with Auditors at the next meeting

**Name of Chair:** Harmesh Darbhanga

**Date report prepared:** 21 April 2017