

<b>Report to:</b>	<b>Trust Board - 31 May 2012</b>
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<b>Title</b>	Board Assurance Framework
<b>Sponsoring Executive Director</b>	Director of Compliance and Risk Management
<b>Author(s)</b>	Chief Compliance Officer
<b>Purpose</b>	The Board Assurance Framework allows the Board to focus on the key risks to strategic objectives
<b>Previously considered by</b>	Trust Board at Development sessions

**Executive Summary**

The framework was developed by the Board at development sessions in April and May following 1:1 interviews with Board members by an external Risk Management consultant. The framework is summarised at attachment 1. The full 2012/13 framework (attachment 2) lists the controls in place and sources of assurance, with the lead Director for each risk.

There are five principal risk themes with 15 sub risks. The Assurance Framework has been linked to the performance report, using the metrics as sources of assurance where possible. The risks are also linked to the Corporate Risk Register which will be presented to the Board in June, following validation at the Risk Management Executive.

Appropriate oversight Committees have been identified for each risk, in line with the Committee review undertaken by Deloitte. These Committees will monitor assurances throughout the year and report, by exception, to the Board.

<b>Related SATH Objectives</b>	<b>SATH Sub-Objectives</b>
Related to all SATH objectives	C5. Meet regulatory requirements and healthcare standards

<b>Risk and Assurance Issues</b>	Links strategic objectives to risks, controls and assurances.
<b>Legal and Regulatory Issues</b>	Requirement to support the Annual Governance Statement.

**Action required by TRUST BOARD**

The Trust Board is asked to **APPROVE** the BAF and consider if any additional risks, controls or assurances are necessary to assure the Board that the risks to the strategic objectives are being properly managed.