

Report to TRUST BOARD – 25 APRIL 2013

Enclosure 9i

Title	Governance and Compliance update
Sponsoring Executive Director	Julia Clarke, Company Secretary/Director of Corporate Governance
Author(s)	Barbara Graham - Committee Secretary

Executive summary

This paper contains

1) **Common Sealing of Documents** - Update on the use of the Trust's Common Seal since the last update to the Board in April 2012 for the period 17 April 2012 to 31 March 2013, pursuant to s9 Standing Orders "Custody of Seal and Sealing of Documents". All are numbered consecutively in a book provided for that purpose, and signed by the persons who have approved and authorised the document and those who attested the seal (**Attachment 1**).

2) **Declarations of Interest** - The Code of Accountability requires Board members to declare interests which are relevant and material to the NHS Board of which they are a member. All existing Board members should declare such interests. Any Board member appointed subsequently should do so on appointment. Any changes should be notified to the Committee Secretary. An up-to-date list of declarations is attached (**Attachment 2**).

3) **CQC Compliance/Registration** - The Trust has implemented a compliance management tool (HealthAssure) which requires individual areas to assess their performance against the CQC outcomes which are then aggregated up to Centre and Trust level. Centres update the system each quarter to reflect the current position and work undertaken to improve areas of poor performance. The corporate leads who have responsibility for oversight of compliance by each outcome have now been identified and will sign off the Trust position each quarter for the relevant. This is then reported to Risk Committee and Trust Board quarterly outcomes. This provides additional assurance around compliance. The Trust's overall performance at Quarter 3 is shown at **Attachment 3**. This shows the current CQC QRP rating for the Trust against each of the outcomes and demonstrates that overall the Trust's self assessment is largely consistent with the CQC's QRP. The main area of difference is Outcome 14 – Supporting workers, where the CQC QRP is Low red and SaTH's self assessment is Green. This has deteriorated since the publication of the latest staff survey.

The Trust remains registered with the CQC without conditions. The certificate of registration is attached (**Attachment 4**).

Related SATH objectives	SATH Sub-objectives
Financial Strength - Building a sustainable future	FS1 Deliver our milestones to achieve FT status

Action required by the Trust Board

Board members are asked to

- 1) **NOTE** the list of Sealings as detailed in the Register for the period 17 April 2012 to 31 March 2013.
- 2) **CONFIRM** that the Declarations listed overleaf are correct or alternatively declare any further additions or deletions and to **ADVISE** the Committee Secretary immediately of any future changes.
- 3) **NOTE** the CQC Registration and Trust compliance assessment.

THE SHREWSBURY AND TELFORD HOSPITAL NHS TRUST

TRUST BOARD MEETING – 25 APRIL 2013

REPORT ON COMMON SEALING OF DOCUMENTS

The following sealings have taken place since the last report submitted to Trust Board in April 2012 :

Seal No.	Title of Document	Date of Sealing	Signed by :
62	Scheme Contract – Agreement between Shrewsbury and Telford Hospital NHS Trust and Balfour Beatty (Principal Supply Chain Partner) for Women & Children's Centre (Development of integrated Women & Children's Services at PRH together with rationalisation of services at RSH). Stage 3 of P21+ process.	28 May 2012	<ul style="list-style-type: none"> ▪ RA Fraser ▪ J Clarke
63	Deed of Variation between (1) Shrewsbury and Telford Hospital NHS Trust and (2) CP Plus (original contract 1 April 2005 to delete Clause 23, replace Schedule 3 – Guaranteed Sum and ad hoc rates/charges and amend Clause 2 to read that contract period is for 8 years.	19 November 2012	<ul style="list-style-type: none"> ▪ P Herring ▪ J Clarke
64	Agreement and Contract for the appointment of a Principal Supply Chain Partner (Balfour Beatty) to a P21+ Scheme and Scheme Contract and associated proposals (New Women & Children's Unit).	4 December 2012	<ul style="list-style-type: none"> ▪ P Herring ▪ J Clarke
65	Land Registry TPI – Transfer of Part of Registered Title Land on northside of Whitchurch Drive, Wellington, Telford (SL96743) from Telford & Wrekin PCT (Transferor) to Shrewsbury and Telford NHS Trust (Transferee).	25 January 2013	<ul style="list-style-type: none"> ▪ P Herring ▪ N Nisbet
66	Lease between SaTH NHS Trust and Shropshire Educaiton & Conference Centre relating to Post Graduate Centre, RSH.	20 February 2013	<ul style="list-style-type: none"> ▪ P Herring ▪ N Nisbet
67	Underlease between Shropshire Education & Conference Centre, relating to Rooms within the SECC, RSH (by reference to Head Lease.	20 February 2013	<ul style="list-style-type: none"> ▪ P Herring ▪ N Nisbet
68	Procure 21+ Parent Company Guarantee between : 1) Balfour Beatty plc 2) Shrewsbury and Telford Hospital NHS Trust (re Works at PRH)	8 March 2013	<ul style="list-style-type: none"> ▪ RA Fraser ▪ J Clarke

The Shrewsbury and Telford Hospital NHS Trust

TRUST BOARD – 25 APRIL 2013

DECLARATIONS OF INTEREST

Mr Martin Beardwell	<ul style="list-style-type: none"> ▪ None
Dr Peter Vernon	<ul style="list-style-type: none"> ▪ Managing Director of Alberi Limited ▪ Director of H10 Limited ▪ Related to the Directorate Manager of Facilities
Mr Dennis Jones	<ul style="list-style-type: none"> ▪ None
Dr Simon Walford	<ul style="list-style-type: none"> ▪ Director, Wolverhampton Grammar School Ltd. ▪ Governor, University of Wolverhampton ▪ Director, Wolverhampton Academies Trust ▪ In receipt of an NHS Pension
Dr Robin Hooper	<ul style="list-style-type: none"> ▪ Director of Verity House Limited ▪ Director of Holy Cross Limited ▪ Director of Enterprise Prospects Limited ▪ Director of Global Enterprise Solutions Limited ▪ Director of Hooper Burrowes Legal ▪ Director of Sports Booker Limited ▪ Director of Action Mill Care Farm Limited

Mr Peter Herring, CEO (Sept onwards)	<ul style="list-style-type: none"> ▪ None
Mrs Vicky Morris, DQS	<ul style="list-style-type: none"> ▪ None
Mr Neil Nisbet, FD	<ul style="list-style-type: none"> ▪ Trustee, Citizens Advice Bureau, Wolverhampton
Mrs Debbie Kadum, COO	<ul style="list-style-type: none"> ▪ None
Dr Edwin Borman, MD	<ul style="list-style-type: none"> ▪ None

Trust Detail

A B C A = Overall corporate compliance
 B = Trust areas overall self assessment
 C- CQC Quality and Risk Profile

1. Involvement and Information

G	G	G	Outcome 01: Respecting and involving people who use services
G	G	G	Outcome 02: Consent to care and treatment

2. Personalised Care, Treatment and Support

A	Y	Y	Outcome 04: Care and welfare of people who use services
G	G	Y	Outcome 05: Meeting nutritional needs
G	G	Y	Outcome 06: Cooperating with other providers

3. Safeguarding and Safety

A	Y	R	Outcome 07: Safeguarding people who use services from abuse
G	G	Y	Outcome 08: Cleanliness and infection control
G	G	Y	Outcome 09: Management of medicines
G	Y	G	Outcome 10: Safety and suitability of premises
G	G	Y	Outcome 11: Safety, availability and suitability of equipment

4. Suitability of Staffing

G	G	G	Outcome 12: Requirements relating to workers
A	Y	Y	Outcome 13: Staffing
G	G	R	Outcome 14: Supporting workers

5. Quality and Management

G	G	G	Outcome 16: Assessing and monitoring the quality of service provision
A	G	Y	Outcome 17: Complaints
G	G	G	Outcome 21: Records

Certificate of Registration

This is to certify the following service provider has been registered by the Care Quality Commission under the Health and Social Care Act 2008

Certificate number: CRT1-665872256
Certificate date: 19/03/2013
Provider ID: RXW

Section 1

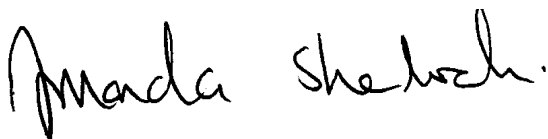
Service Provider details

Name of service provider: Shrewsbury and Telford Hospital NHS Trust

Address of service provider: Mytton Oak Road
Shrewsbury
Shropshire
SY3 8XQ

Date of Registration: 01/04/2010

Signed



Amanda Sherlock
Director Operations for the Care Quality Commission

You can email CQC at: enquiries@cqc.org.uk

You can contact CQC on telephone number: 03000 616161

You can write to CQC at: CQC National Correspondence, Citygate, Gallowgate, Newcastle upon Tyne, NE1 4PA

Section 2

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Assessment or medical treatment for persons detained under the
Mental Health Act 1983**

For Regulated Activity **Assessment or medical treatment for persons detained under the
Mental Health Act 1983** the Nominated Individual (where applicable) is:
Peter Herring

Conditions of registration that apply to:
Shrewsbury and Telford Hospital NHS Trust for **Assessment or medical treatment for
persons detained under the Mental Health Act 1983**

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Diagnostic and screening procedures**

For Regulated Activity **Diagnostic and screening procedures** the Nominated Individual
(where applicable) is:

Peter Herring

Conditions of registration that apply to:

Shrewsbury and Telford Hospital NHS Trust for **Diagnostic and screening procedures**

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Location Name and address	Wrekin Community Clinic, Euston House Euston Way Town Centre Telford TF3 4LY
Location ID	RXWX1
Additional conditions that apply at this location	

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Management of supply of blood and blood derived products**

For Regulated Activity **Management of supply of blood and blood derived products** the
Nominated Individual (where applicable) is:

Peter Herring

Conditions of registration that apply to:

Shrewsbury and Telford Hospital NHS Trust for **Management of supply of blood and
blood derived products**

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Maternity and midwifery services**

For Regulated Activity **Maternity and midwifery services** the Nominated Individual (where applicable) is:

Peter Herring

Conditions of registration that apply to:

Shrewsbury and Telford Hospital NHS Trust for Maternity and midwifery services

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Bridgnorth Maternity Unit Bridgnorth Community Hospital Northgate Bridgnorth Shropshire WV16 4EU
Location ID	RXWMB
Additional conditions that apply at this location	

Location Name and address	Ludlow Maternity Unit Ludlow Community Hospital Grave Hill Ludlow Shropshire SY8 1QX
Location ID	RXWML
Additional conditions that apply at this location	

Location Name and address	Oswestry Maternity Unit The Robert Jones & Agness Hunt Hospital Oswestry Shropshire SY10 7AG
Location ID	RXWMJ
Additional conditions that apply at this location	

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Surgical procedures**

For Regulated Activity **Surgical procedures** the Nominated Individual (where applicable) is:
Peter Herring

Conditions of registration that apply to:
Shrewsbury and Telford Hospital NHS Trust for **Surgical procedures**

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Location Name and address	Wrekin Community Clinic, Euston House Euston Way Town Centre Telford TF3 4LY
Location ID	RXWX1
Additional conditions that apply at this location	

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Termination of pregnancies**

For Regulated Activity **Termination of pregnancies** the Nominated Individual (where applicable) is:

Peter Herring

Conditions of registration that apply to:

Shrewsbury and Telford Hospital NHS Trust for Termination of pregnancies

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Transport services, triage and medical advice provided remotely**

For Regulated Activity **Transport services, triage and medical advice provided remotely**
the Nominated Individual (where applicable) is:

Peter Herring

Conditions of registration that apply to:

Shrewsbury and Telford Hospital NHS Trust for Transport services, triage and medical advice provided remotely

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Shrewsbury and Telford Hospital NHS Trust is registered in respect of
Regulated Activity: **Treatment of disease, disorder or injury**

For Regulated Activity **Treatment of disease, disorder or injury** the Nominated Individual
(where applicable) is:

Peter Herring

Conditions of registration that apply to:

Shrewsbury and Telford Hospital NHS Trust for Treatment of disease, disorder or injury

1. This Regulated Activity may only be carried on at or from the following locations:

Location Name and address	Royal Shrewsbury Hospital Mytton Oak Road Shrewsbury Shropshire SY3 8XQ
Location ID	RXWAS
Additional conditions that apply at this location	

Location Name and address	The Princess Royal Hospital Grainger Drive Appley Castle Telford Shropshire TF1 6TF
Location ID	RXWAT
Additional conditions that apply at this location	

Location Name and address	Wrekin Community Clinic, Euston House Euston Way Town Centre Telford TF3 4LY
Location ID	RXWX1
Additional conditions that apply at this location	

End of certificate