



SaTH Charity Policy

Policy and procedure on the receipt and use of charitable funds for the benefit of patients, their relatives and our staff

Additionally refer to:

- Managing Conflicts of Interest in the NHS
- Health and Safety Policy
- Volunteer Policy
- Food Safety Policy Code of Practice & Procedure
- Standing Financial Instructions
- Marketing Communications, Commercial Sponsorship and Advertising Policy
- Reimbursement of Travel, Accommodation & Subsistence Expenses

Version:	V4.2
V4 issued	
Approved by	Charitable Funds Assurance Committee, Policy Approval Group
Date approved	
Ratified by:	Senior Leadership Team
Document Lead	Public Participation Team Programme Manager
Date ratified:	01/12/2021
Lead Director	Director of Public Participation
Date issued:	02/12/2021
Review date:	February 2025 (unless circumstances change)
Target audience:	All Staff

Charity policy

Document Lead/Contact:	Public Participation Programme Manager
Version	4.2
Status	Final Draft
Date Equality Impact Assessment completed	25/02/2020
Issue Date	02/12/2021
Review Date	Feb 2025
Distribution	Please refer to the intranet version for the latest version of this policy. Any printed copies may not necessarily be the most up to date
Key Words – including abbreviations if these would be reasonably expected to be used as search terms	SaTH Charity, Charity Guidance, Charity Policy
Dissemination plan	Intranet, One minute brief,

Version history

Version	Date	Author	Status	Comment – include reference to Committee presentations and dates
V1	Dec 2017	A James	Draft	
V1.1	May 2018	C Jowett	Draft	Updated with additional information on risk assessment, public liability, handling of donations
V1.1	June 2018	C Jowett	Final	Issued after consultation and approval
V2.0	Dec 2018	A James	Draft	Includes the relevant detail from “Policy and Procedure on the receipt and use of charitable funds” policy which will be superseded by this combined policy.
V2.0	May 2019	A.James	Final	Issued after consultation and approval
V3.0	Sep 2019	A James		Updates to include recommendations that will improve the visibility of spend approval. To encourage forward planning and fundraising. To ensure the Corporate Trustee has the required visibility of process and management to sign off and approve the Annual Report.
V3.1	Jan 2020	A James	Draft	Changes to responsibilities and roll titles, Fund Manager to Fund Advisor.
V3.1	Feb 2020	A.James	Final	Issued after consultation and approval
V4.0	Oct 2021	A James	Draft	Updates to the approval process of expenditure. Change in approval limits.
V4.2	Dec 2021	A James	Final	

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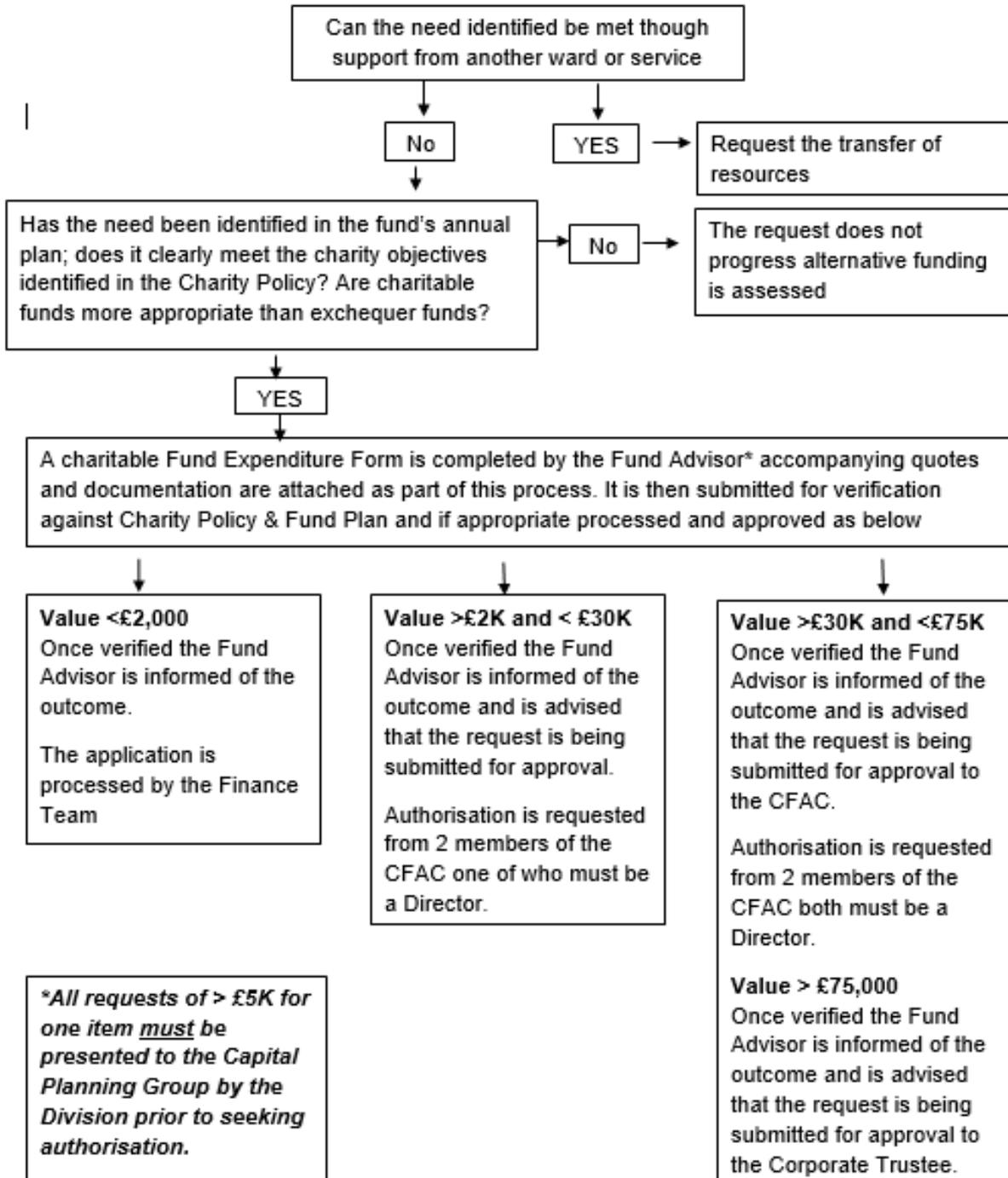
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SaTH Charity Sign Off Procedure

Charitable expenditure is planned annually by the Fund Advisor and is approved by the Divisional Board and the Charitable Funds Assurance Committee. Full details of expenditure limits and the approval process can be found in the SaTH Charity Policy.



1 Policy on a Page

Purpose

This policy aims to help all staff and supporters involved in fundraising or who face questions on SaTH's approach to charitable activities understand the legal and social responsibilities relating to fundraising. It also clarifies what areas and services are supported and how the Trust undertakes fundraising and how to register fundraising activity. It covers responsibilities and who to contact, and how, for guidance and support.

This policy helps to ensure that all fundraising activities undertaken by the Trust satisfy all legal requirements; this protects the Trust, Corporate Trustee, our staff and fundraisers and the Charity's reputation. The wide range and scope of fundraising activities makes it difficult to incorporate specific advice on every event or activity undertaken, but, rather, this seeks to set out overriding principles which should be followed.

Strategic Aims

The over-arching aims of the fundraising strategy are:

- To help raise funds to meet the need for new and innovative medical equipment and to improve the clinical knowledge of our workforce to directly improve patient outcomes
- To build and develop the Trust's fundraising capacity
- To integrate cohesive fundraising and communication methods, throughout the Trust
- To provide support and guidance to existing charitable partners, including our Charity
- To stipulate what is and will not be funded from charitable funds
- To develop and implement corporate fundraising priorities that are aligned with the Trust's strategic objectives and the charitable objectives of the Charity
- To progress towards having a charity team to develop the methods of support required to meet the changing funding environment within the NHS

Applicable to:

- All Trust staff
- Supporters of Trust fundraising
- Partner organisations involved with fundraising

Financial implications:

- Charitable funds – collection, management and the use of funds.

Corporate Trustee

The Board of the Trust is responsible as the Corporate Trustee for the management of funds it holds on trust for SaTH Charity. It must always comply with applicable law, latest Charities Commission guidance and best practice.

The discharge of the Board's corporate trustee responsibilities in respect of monies held on trust for the Charity are distinct from its responsibilities for exchequer funds and may not necessarily be discharged in the same manner, but there must still be adherence to the overriding general principles of financial regularity, prudence and propriety. Trustee responsibilities cover both charitable and non-charitable purposes, recognising that these are distinct responsibilities.

Charitable fund donations, whether cash or cheques, must be receipted and taken to the Cashier's Office as soon as possible.

Staff must not hold cash/cheques on wards or in departments.

Staff should not handle donations on behalf of external charities / funds unless permission has been given in advance by the Charity office.

2 Document Statement

In a climate of considerable financial restraint, the Shrewsbury and Telford Hospital NHS Trust ("the Trust") needs to ensure that its Charity is fulfilling its potential and maximising income in order to support its charitable objects while supporting the needs of its patients, service users and staff by helping to provide those extras that cannot be met from Exchequer NHS funds.

This policy aims to give a general overview of how charitable fundraising for the Shrewsbury and Telford Hospital NHS Trust Charity ("SaTH Charity") is managed and developed and how this is then used to benefit patients their families and visitors, and staff in their clinical duties and their wellbeing. Under the **SaTH Charity** brand a cohesive approach is taken, it guides and helps all Trust staff understand their general duties and legal responsibilities in relation to any fundraising activities undertaken. It also provides guidance in relation to fundraising involving volunteers, partner organisations and third parties.

Individuals, companies and organisations that make donations and fundraise in support of the Charity which benefits the Trust, its services and research should have total confidence that their gift is received and managed in line with their wishes and in accordance with best practice (**improvement in quality and outcome of clinical care**) and charity law.

3 Overview

The Trust will always ensure its services are safe and aims to meet the relevant clinical and quality standards. Financing of additional services, equipment and training from funds outside normal revenue budgets (Exchequer funding) is an important option for NHS organisations. Such funds are normally known as charitable funds, most of these come from voluntary donations, fundraising initiatives or sponsorship.

The Trust benefits financially from charitable donations raised and managed through the Charity and a variety of external supporting and related charities.

The Trust recognises the positive contribution that charitable funds can make towards providing a high-quality service and positive experience for Trust patients, service users, their families, carers and staff.

Charitable fundraising is a legitimate means to enable the purchase of goods, equipment or services and additional training and development for staff (**that has a direct impact on patient outcomes**) which is not possible to fund through normal NHS channels. For the public, donating or actively raising charitable funds is seen as a positive way of supporting their local

NHS service and often as a way of expressing gratitude for treatment received, or as a way of remembering a loved one who was cared for by the organisation.

In addition, encouraging the public to engage and support the Trust has benefits going beyond the funds raised; it creates a sense of belonging, community spirit and opens avenues for the public to have greater input into the development of their hospital's services. Supporting the Hospital Charity is a measurable method of measuring elements of community engagement.

The Trust's Board is the Corporate Trustee of SaTH Charity and leads the Charity in line with statutory and regulatory requirements, recognising the contribution and value that the Charity makes to the delivery of services and the welfare of patients. It recognises the work of individuals and groups that participate in fundraising events and donate to the Charity.

Trust staff can receive charitable donations intended for SaTH Charity but must strictly follow the process for receipting and processing of charitable funds. Charitable fund donations, whether cash or cheques, should be receipted using the ward paying in books and taken to the Cashier's Office as soon as possible. **Staff must not hold cash or cheques on wards or in departments.**

SaTH Charity aims to work alongside other fundraising organisations that are effective in providing valuable support to the Trust. The Shrewsbury and Telford Hospital NHS Trust will support other fundraising groups including; Royal Shrewsbury Hospital League of Friends, Friends of Princess Royal and the Lingen Davies Cancer Fund.

4 Definitions

SaTH Charity is the fundraising charity solely supporting the needs of the Shrewsbury and Telford Hospital NHS Trust. The Charity has over 70 charitable funds (accounts) which enable donations and fundraisers to support a particular service, department or specialty. The Trust actively encourages fundraisers and donors to support a service as opposed to wards. Donations to wards are aligned to the specialty covered by the ward at the time of the donation.

5 Charitable Funds

Charitable funds consist of:

- Money donated to the Trust (received on its behalf by the Charity) in appreciation
- Money left in a legacy for the benefit of the Trust (received on its behalf by the Charity)
- Money collected via collection points
- Money which has been raised through fundraising events and
- Money which has been donated through a fundraising appeal.

The Charity is registered with the charity commission (1107883) and promotes itself as SaTH Charity whose principle charitable objective is:

“Any charitable purpose relating to the National Health Service wholly or mainly for the services provided by the Shrewsbury and Telford Hospital NHS Trust to improve the quality of patient care and improved clinical outcomes”

6 Duties

6.1 Trustee

The Board of the Shrewsbury and Telford Hospital NHS Trust is responsible as the Corporate Trustee of SaTH Charity and has ultimate accountability to ensure the Charity operates in line with current legislation and meets its legal obligations for fundraising, operational management and reporting. The Corporate Trustee delegates this accountability to the Charitable Funds Assurance Committee (See Appendix 3). The Corporate Trustee meet quarterly to review the activity of the Charity as presented by the Charitable Funds Assurance Committee and consider expenditure >£75,000. The Corporate Trustee signs off the annual Report and Accounts.

6.2 Charitable Funds Assurance Committee

Constitution - The Trust Board established a Committee of the Board known as the Charitable Funds Assurance Committee (CFAC). As a Committee of the Trust Board, the Standing Orders of the Trust apply to the conduct of the Charitable Funds Assurance Committee ("the Committee"). The CFAC meets every three months.

The Committee is authorised by the Trust Board as the Corporate Trustee to investigate any activity within its Terms of Reference and is expected to make recommendations to the full Trust Board. It is authorised to seek any information it requires from any employee and all employees are directed to co-operate with any request made by the CFAC.

The CFAC is authorised by the Trust Board to obtain outside legal or other independent professional advice, and to secure the attendance of others from outside the Trust with relevant experience and expertise, if it considers it necessary. This authority will only be used in exceptional circumstances.

The Committee will as appropriate review both approved and non-approved charitable funds expenditure requests to ensure that monies are spent in line with the Charity's charitable objectives. The CFAC shall ensure that each charitable fund is managed appropriately regarding its specific purpose and to its requirements.

6.3 Director of Finance

The Director of Finance is responsible for the implementation of appropriate systems and processes to accurately record income and expenditure and monitor financial controls for the Charity. The Director of Finance has given the authority to a Senior Financial Accountant within the finance department to report charitable funds. The Director of Finance is responsible for ensuring that:

- Expenditure is properly validated
- All funds raised are accurately accounted for

6.4 Director of Public Participation

The Director of Public Participation has delegated responsibility for leading on fundraising and ensuring that robust arrangements are in place to support the Charity in connection with the management and administration of charitable funds in line with statutory requirements to the Public Participation Programme Manager. The Director of Public Participation is responsible for ensuring that:

- Fundraising is carried out legitimately
- Funds are expended to benefit the Trust in accordance with the charitable objects of the Charity

Benefit can be quantified by ***“improvement in the quality of patient care and improved clinical outcomes”***

6.5 Public Participation Programme Manager

The Public Participation Programme Manager has operational responsibility for leading on the implementation of appropriate systems and processes that support fundraising. The Programme Manager has operational management responsibility for the management of fundraising activity, ensuring that the systems and processes are implemented and that controls and assurances are in place for any identified risks in relation to this policy.

The Programme Manger is responsible for the team which supports the activities of the Charity in the SaTH Charity office, ensures a full report summarising expenditure is also reported to the Charitable Funds Assurance Committee and expenditure requests (whether approved or not) are included. The team also provides support to Fund Advisors and service leads in their development of fundraising projects and the development of annual plans to manage their funds.

The Charity office team also links in with other areas including communications, engagement and involvement.

The Public Participation Programme Manager (PM) is responsible to ensure that submitted expenditure requests align to the Charitable Policy. If the expenditure does not align to the objects of the Charity the PM will liaise directly with the area to seek further information and provide guidance. If the request remains outside of the objectives described within the Policy, the request will be refused with a clear rationale for the decision.

The appeals process will be to refer the appeal to the Director of Finance and the Director of Public Participation for guidance. Appeals may be escalated to the Charitable Funds Assurance Committee for their decision as the representative of the Corporate Trustee.

6.6 Senior Accountant

The Senior Accountant ensures a full report summarising expenditure is reported to the Charitable Funds Assurance Committee and all expenditure request are included. The day-to-day finances of SaTH Charity are reported on under the guidance of the Senior Accountant. A monthly report is compiled which highlights the current position of all SaTH Charity Funds which is signed off by the Director of Finance or their deputy.

The Finance Team will highlight any discrepancies or queries with request forms to the Public Participation Programme Manager to seek guidance.

6.7 Fund Advisors

All applications forms for expenditure must be signed by the appropriate Fund Advisor before submission to the Charity Office for approval (Appendix 2). Fund Advisors cannot request expenditure from charitable funds for their own benefit. The full approval procedure is covered on page 5 of this policy. The Fund Advisor is responsible for presenting capital expenditure of over £5,000 to the Capital Planning Group **prior** to seeking authorisation for expenditure. Guidance on whether funding is **likely** to be approved can be given by contacting the Public Participation Programme Manager. The full approval process will still be required.

Fund Advisors are required to support the development of an annual spending and fundraising plan by April of each year. This is to ensure that spend remains in line with the Trust's identified objectives.

6.8 Fundraising Event Lead (e.g. coffee morning, fun day etc)

The Fundraising Event Lead whether supporting SaTH Charity or another appropriate Charity is responsible for:

- Submitting a completed Event Proposal Form (see appendix 1) to the SaTH Charity Office sath.charity@nhs.net prior to any fundraising event.
- Ensuring that a proposed event complies with safety requirements e.g., Health and Safety, Food Safety (Food Safety Policy Code of Practice 22), safeguarding etc. and contacting the relevant departments for advice if unsure
- Checking that the event is covered by Public Liability Insurance. Contacting the SaTH Charity Office on 01743 261446 for events held in support of SaTH Charity; and the event organiser if participating in an external event. External events may require additional insurance cover.
- Ensuring permission has been given by the Charity Office to release any communications regarding the proposed fundraising event which makes reference to the Trust and/or the Charity (to ensure consistency at all times).
- Ensuring that any funds raised are promptly receipted and paid into the Cashier's Office.

6.9 All Trust staff, volunteers and contractors

All Trust staff; volunteers and contractors must adhere to this guidance policy and operate any fundraising on Trust premises or for SaTH Charity in accordance with this policy.

7 Governance arrangements

Charities are governed by a range of legislation and staff are required to adhere to the principles below when organising and carrying out fundraising activities for SaTH Charity. They also apply for activity in support of other organisations when instigated on Trust property.

This includes but is not limited to:

- Data protection
- Charity Commission guidelines
- Charity law including the Charities Act 2011
- The Institute of Fundraising's Code of Fundraising Practice
- The Consumer Protection from Unfair Trading Regulations 2008
- Street collection regulations/laws
- Regulations/laws relating to lotteries, raffles and sweepstakes

Please contact the Charity on 01743 261446 for guidance.

SaTH Charity is registered with the Local Authority for the process of holding lotteries and raffles.

8 Guidance for Charitable Income

Charitable fund donations will be received as cash or cheque in one of the following ways: -

- Given directly to Ward/Departmental staff
- Taken directly to the cashier's offices at the Royal Shrewsbury Hospital or the Princess Royal Hospital
- Sent in the post to the Finance Department
- Sent in the post addressed to the Charity Office at the hospital
- Given to the fund-raising appeal office/staff.

The Charity can also receive donations directly into the bank and on-line via:

- Just Giving at www.justgiving.com/sath/donate
- SMS text giving www.nationalfundingscheme.org

The Charity utilises Sum-Up to collect and receive monies via credit and debit cards.

The Charity also receives donations from funeral directors through Memory Giving at www.memorygiving.com.

8.1 Receipt of donations

In all cases when staff receive donations, they must ensure that the triplicate ward receipt book is used and that all sections are completed and legible including the receipt number. Staff receiving donations are encouraged to ask the donor to complete the Gift Aid section in the paying in book. Cash and cheques must be banked at the earliest opportunity through the Cashier's Office at either RSH or PRH. If there is to be delay in paying in monies support can be obtained by contacting the Charity Office 01743 261446 or by email sath.charity@nhs.net

- The top copy must be given to the donor
- The second copy will be retained by the Cashier's Office
- The third copy retained in the book

The Cashier's Office will forward the official receipt together with all accompanying information to the finance dept which will produce acknowledgement letters on behalf of the Charity to be sent to the donor with the official receipt. Where departments prefer to send out their own letters of thanks, this can be arranged by liaising with the finance dept.

If appropriate, a Gift Aid Declaration form will be sent to the donor by the charity finance team. The returned form when signed enables SaTH Charity to reclaim tax on the donation at 25% of the original donation. This money is credited to each appropriate charitable fund.

If a Charities Aid Foundation (CAF) cheque is received, this must be sent to the finance department (charitable funds) for completion and not presented to a bank. Details of which charitable fund to benefit from the donation must be included to ensure the donation is allocated to the correct fund.

No monies in the form of cash or cheque must remain on the ward; funds must be paid into the cashier's office at the earliest opportunity.

8.2 Drug company donations

Income from drug companies for clinical trials and research purposes is not deemed charitable. The Department of Health and Social Care and Charities Commission have laid down stringent regulations. Income should be accounted through the main Trust's income and expenditure account. In some cases, it may be possible to defer income received until such a time as the connected expenditure arises. Contact the Senior Financial Accountant for advice 01743 261704.

8.3 Opening and closing funds

The Charitable Funds Assurance Committee are rationalising the number of funds to avoid the management of numerous small funds becoming cumbersome and will close and reappportion funds if required and where deemed appropriate in particular circumstances, having taken advice where necessary. To open a new charitable fund details must be provided of why a new fund is required. The Director of Finance must approve all new charitable funds.

The Charity has a proactive approach to manage obsolete charitable funds. Any fund which does not have any income or expenditure within a financial year will be closed. Any monies held will be redirected in line with any restriction placed on donated funds, remaining funds will be transferred to another fund which is on a similar or supporting patient pathway or service.

9.0 Working principles

Staff should contact the Charity on 01743 261446 prior to undertaking any fundraising so that advice and guidance can be provided including donation routes, so monies are spent as intended. Fundraising can be costly and it is important to ensure that the costs will be justified and additionally that there will be no unsupported cost pressures arising from the purpose for the fundraising.

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No funds can be claimed from the Charity to cover expenses (e.g. accommodation costs) incurred as part of fundraising activities for the charity without prior approval from the Charitable Funds Assurance Committee.

Similarly, funds donated to the Charity, JustGiving and other online donation methods cannot be recovered or claimed back to cover expenses or shared with other charities once transferred. Every care must be taken to set up pages appropriately – please contact the SaTH Charity office for advice.

Where individual staff members are fundraising for the Trust, but the event is to be held offsite, prior notification must be given to the SaTH Charity office so members of the team have the option of assisting and supporting the event. Resources available include T shirts, collection tins and buckets, sponsorship forms and support with press coverage and photography.

Activities in connection with SaTH Charity conducted off Trust premises are unlikely to be covered by our insurance policies. Fundraisers must ensure they source their own insurance as appropriate and adhere to relevant health and safety considerations including undertaking relevant risk assessments as appropriate (including any safeguarding considerations, where appropriate).

Unauthorised fundraising in the name of SaTH Charity may lead to an injunction being taken out against the fundraiser particularly if fraud is suspected.

Staff must ensure that all donations received are remitted accurately, promptly and with all known details including all contact details to the Cashier's Office. This enables the Charity to acknowledge the donor in a timely manner, as is appropriate for a donation. In addition, staff are asked to pass on any details known regarding the reason for donation, e.g. in memory of or participation in a 10k run.

Staff are encouraged to thank donors personally in a method they deem appropriate, e.g. telephone call, or in person. SaTH Charity will normally also acknowledge donations received through the receipt process administered by the Charity Finance Team.

If staff are offered gifts from service users, relatives, carers, contractors, or others, they are subject to the provisions of the Trust's Managing Conflicts of Interest in the NHS policy. Staff are encouraged to direct these individuals to the Charity as a way of showing their gratitude to the Trust.

9.1 SaTH Charity holds a raffle and lottery licence which enables:

- A raffle to be held at an external event and pre-event ticket sales
- Alcohol to be a prize if it is in a sealed container and all the people at the event are over 18.
- A rollover of prizes from one raffle to another.
- The maximum spend on prizes can be up to 80% of monies raised
- Cash can be given as a prize.
- There is no minimum or maximum restriction on ticket prices; and
- Tickets can be sold to and by anyone over the age of 16 in the name of and for the benefit of the Charity only.

The money raised from selling tickets and from any such event must be used for charitable purposes and cannot be used for 'private gain'. It can cover the main expenses of the raffle including the cost of printing or buying pre-printed raffle tickets and the cost of the event being held – up to a maximum of £100.

The Charity pays an annual fee to register with the Local Authority for the Small Society Lotteries which enables tickets to be sold in advance of events. Tickets are not to be sold to persons under the age of 16 and prizes should not be given to any persons under the age of 16.

Cake and food sales must follow the Trust's guidance document COP22 – Food safety rules.

10.0 Guidance for Use of Funds

All uses of Charitable Funds

- **must comply with the intention of the donor if stated**
- **must improve the quality of patient care and/or improved clinical outcomes at the Trust**
- **must have a positive impact on staff wellbeing**

Four tests must be applied as part of the request and approval process:

- Would the donor approve of the spend, does it improve patient care or clinical outcome?
- Does it meet the requirements relating to the charitable fund?
- Is the immediate and direct effect of the expenditure consistent with providing improved patient care or clinical outcome?
- Is the request aligned to improving the quality of patient care or clinical outcome?

Examples of appropriate uses of charitable funds include:

- Expenditure that improves patient comfort or experience that is not part of normal exchequer expenditure e.g. Televisions, entertainment equipment, dementia dolls and equipment.
- Equipment that has a direct impact on clinical outcomes e.g. CT scanner or heart monitor emphasis is on equipment that is more technically advanced or is a piece of equipment not in use. Basic equipment should be met through normal exchequer funding.
- Staff related expenditure - fees for courses and training that contribute quantifiably towards improving patient care or clinical outcomes which are outside normal exchequer funding (has a direct clinical benefit). For travel costs see the Travel Policy. Morale-boosting initiatives for staff groups e.g. professional recognition days.

Examples of inappropriate uses of charitable funds include:

- Staff related expenditure – payments of cash, vouchers or gifts to staff.
- Staff related expenditure - benefits accessible by only a select few including individual gifts and functions for leavers, staff parties, except for retirement events which should be organised in accordance with the Retirement Policy (HR19).
- Refreshments for meetings and events

It is important that charitable funds are spent in a timely manner on priority items. Fund Advisors also need to ensure that the future needs of the service are taken into consideration as they develop their fundraising and spend plans. Furthermore, when purchasing equipment using charitable funds it is also important to consider whether items can be transferred between hospital sites.

Fundraising on Trust premises should primarily be focused on SaTH Charity, Royal Shrewsbury Hospital League of Friends, The Friends of Princess Royal and Lingen Davies Cancer Fund but it is understood that we work closely with partner organisations and therefore fundraising on their behalf is permitted if all relevant rules are followed.

Fundraising for the following organisations is permitted and encouraged on trust premises:

- SaTH Charity is the official Trust charity; staff fundraising on Trust premises should be primarily focussed around it.
- Royal Shrewsbury Hospital League of Friends, The Friends of Princess Royal, Lingen Davies Cancer Fund are closely aligned with the Trust and we are committed to supporting these organisations.
- National and local health-related awareness campaigns, especially those which are linked to Trust services and strategies. Examples include but are not limited to: Lung Cancer Awareness Month, Breast Cancer Awareness Month, National No Smoking Day, Movember and Dry January. Sharing the fundraising output for these events should be considered if deemed appropriate between the Charity and the joint fundraising partner organisation. Where the funds will be split the benefiting restricted fund should be made clear to donors.
- Charitable or non-profit making organisations which support or work closely with the Trust and its services, including the Royal Voluntary Service, Macmillan Cancer Support.
- Fundraising for charities or appeals which do not fall into the categories listed above will not normally be permitted on Trust premises; however in exceptional circumstances this may take place with the prior written permission from the Charity Office or a member of the Charitable Funds Assurance Committee. Please contact the fundraising team on 01743 261446 to discuss.

11.0 Further general guidance

Raising money for the general unrestricted Charity fund is welcome and encouraged as it allows the Trust to spend donations to meet the most pressing needs.

No collection tins should be displayed within a ward or department without prior permission from the Charity Office.

Refer enquiries from potential fundraisers who wish to raise funds directly for the Trust or ward/department to the SaTH Charity office. This enables fundraisers to be guided and supported to ensure optimum funds are raised and importantly we can say “thank you”.

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Please state clearly in promotional materials such as posters and web pages the beneficiary from the fundraising activity and what will be purchased with the money raised or where the money will go (the Charity). If raising funds for a specific item clarification should be made to establish which SaTH Charity fund would benefit if the full value required is not raised. Please contact the Charity Office for guidance.

The SaTH Charity Office must approve all literature naming the Trust as the beneficiary as failure to do this correctly may result in the illegitimate collection of funds. As a registered charity with a total income of more than £10,000 per year, every written or printed communication encouraging people to give to the Charity must state that the organisation is a registered charity and quote its charity number – 1107883.

Data protection regulations must be adhered to.

Do inform anyone intending on making a donation to the Charity that the money should be paid into the Cash Office, or alternatively cheques only (cash should not be posted) should be posted to:

The Charity Office,
Flat 2, Stretton House
The Royal Shrewsbury Hospital
Mytton Oak Road
Shrewsbury,
SY3 8QX

**DONATIONS SHOULD BE SENT TO THE CASHIER'S OFFICE AS SOON AS POSSIBLE –
No cash or cheques should be kept on the wards.**

Public fundraisers should be encouraged to use the official donation form which is available to download from the Trust's website. Further information is available at <https://www.sath.nhs.uk/about-us/charity/help/>

* Please make clear which centre, ward or department the money is intended for.

Staff must not:

- Fundraise for other charitable organisations which do not meet the definitions outlined above. Staff members may wish to raise funds for charities linked to their own personal, political and/or religious beliefs off-site and in their own time, however any fundraising undertaken by staff on Trust premises must be linked to the objectives of the Trust and the wider NHS/partner organisations.
- Undertake inappropriate fundraising activities which attract adverse publicity for the Trust, potentially damaging its reputation and undermining public/patient confidence in our services. Please call the SaTH Charity office on 01743 261446 for advice.
- Impose participation in fundraising activities on any individual.
- Carry out fundraising activities which interfere with their scheduled hours of work without the express permission of their line manager.

- Display any collection tins within wards or departments which are for charities / funds other than the Charity (this may be permissible on a short-term basis during joint fundraising activities).
- Accept donations from patients on behalf of external charities or funds to pass them on to the charity / fund

11.1 Items and assets donated by third parties

Items and equipment which may be funded by other organisations/charities (e.g. League of Friends) should ordinarily be purchased using the usual Trust's procurement systems, with written confirmation from the other organisation that they are willing to reimburse the agreed cost. In this way the Charity is able (but not obliged) to utilise the national NHS procurement contracts to obtain best value for money and ensure the purchases meet Trust standards.

If an organisation or individual wants to donate assets, before accepting them please ensure they adhere to Trust standards by contacting the relevant department (e.g. risk management, fire safety etc.) Also, please ensure the Finance Department are aware of all potential donated assets (equipment, furnishings) by contacting them on 01743 261704.

12 Training Needs

There is no mandatory training associated with this guidance. If staff have queries about its operation, they should contact their line manager in the first instance.

13 Review process

This document will be reviewed in 5 years of approval date, or sooner if required. The document will be reviewed considering feedback and learning. In order that this document remains current, any of the appendices to the policy can be amended and approved during the lifetime of the policy without the document having to return to the ratifying committee.

14 Equality Impact Assessment

An Equality Impact Assessment Form – Stage 1 – Initial Assessment was conducted to consider the impact on all areas of diversity, i.e. gender, transgender, disability, race, sexual orientation, age, religious belief, marriage and civil partnership and pregnancy. The purpose of the impact assessment was to ensure that we do not discriminate and that the promotion of equality is achieved for both patients and employees.

The Initial Assessment did not highlight or identify any negative impacts; it highlighted areas of positive benefit.

15 Standards of Business Conduct

The Trust follows good NHS Business practice as outlined in the Code of Conduct and the Trust Policy 'Managing Conflicts of Interest in the NHS'. In line with the requirements in that policy the following principles and rules apply:

- Donations made by suppliers or bodies seeking to do business with the organisation should be treated with caution and not routinely accepted. It should be made clear that

any donation would be directed to the Charity for the benefit of the organisation, patients and staff. The SaTH Charity office will record who donated, the nature of the donation and its estimated value.

- Staff should not actively solicit charitable donations unless this is a prescribed or expected part of their duties for the Trust or is being pursued on behalf of the Trust's own registered charity (SaTH Charity) or other charitable body and is not for their own personal gain.
- Staff must obtain permission from the organisation if in their professional role they intend to undertake fundraising activities on behalf of a pre-approved charitable campaign for a charity other than the organisation's own.
- Donations, when received, should be made to a specific charitable fund (never to an individual) and a receipt should be issued.
- Staff wishing to donate to a charitable fund in lieu of receiving a professional fee may do so, subject to ensuring that they take personal responsibility for ensuring that any tax liabilities related to such donations are properly discharged and accounted for.

16 Process for monitoring compliance, performance and audit

Performance of fundraising activities at the Trust will be monitored and reported to the Charitable Funds Assurance Committee. The key performance indicators include but are not limited to:

- Amount of charitable funds raised
- Publicity, reputation and awareness creation - positive media coverage
- Cost of fundraising
- Website traffic statistics
- Social media activity.
- Number of activities undertaken

17 Guidance for Charitable Expenditure

All applications for the release of charitable funds must be made on an official 'Charitable Fund Expenditure Request' form (see Appendix 1) The form is completed online and can be found here (TBC, email sath.charity@nhs.net). A copy of the form is sent to the Charity Office and the Charity Finance Team. The approval process will then be implemented as stipulated below.

For all non-stock items, the requisition details must be completed and quotations attached to enable the charity finance team to raise an order via the Oracle System should the request be approved. Carriage costs up to £25 can be charged without further approval from the Charity Office.

All applications must state the amount of money being requested.

Prior to an application for expenditure being submitted the balance of the charitable fund must be checked and noted on the Charitable Fund Expenditure Request Form. The current balance can be obtained by contacting the Charity Office or the charity finance team. The application for expenditure will be automatically rejected if the request is for more than the balance of the fund. If the application would leave the fund with less than 20% of the fund balance available, other commitments to the fund will be checked before the expenditure can be authorised.

Charity policy

Certain goods and services can be zero-rated for VAT when purchased with charitable or donated funds. Medical, scientific, computer and laboratory equipment will qualify for zero rating if they are to be used for medical research, training, diagnosis, or treatment.

Payments cannot be made in cash.

All applications for financial support from the Charity must be accompanied by the appropriate supporting documents, which may include:

- Quotes
- Receipts
- Additional relevant information
- Requests for payments for courses, conferences and study days must be accompanied by a flier that confirms the course and the cost (Application for Study Leave forms are not considered proof of cost).

Travel costs associated with training courses must be processed through the Trust's Payroll department and recharged to a charitable fund to comply with HM Revenue and Customs rules.

Expenditure Approval

All expenditure over £5,000 for a single item must be presented to the Capital Planning Group for reference purposes **prior** to submission for approval.

Normal tendering rules set out in the Trust's Standing Financial Instructions apply to Charitable Funds.

Expenditure < £2,000 The request is verified against the charitable fund plan and charity policy by the Public Participation Programme Manager. If the proposal meets these criteria they will approve and the form is sent to the charity finance team for processing.

Expenditure > £2,000 and < £30,000 The request is verified against the charitable fund plan and charity policy by the Public Participation Programme Manager. If the proposal meets the criteria it is authorised by 2 members of the CFC one of who must be a Director. The form is sent to the Finance Team by the Public Participation Programme Manager for processing.

Expenditure >£30,000 and <£75,000 The request is verified against the fund plan and charity policy by the Public Participation Programme Manager if it meets the criteria it is submitted to the Charitable Funds Assurance Committee for authorisation. If time pressures exist approval can be sought virtually although this is not the preferred course of action. If the proposal is agreed against these criteria it is authorised by two members of the CFAC both must be a Director. The form is sent to the charity finance team for processing.

Expenditure Value >£75,000 Approval of the Corporate Trustee is required. The request is reviewed against the fund plan and charity policy by the Public Participation Programme

Manager if it meets the criteria it is submitted to the Charitable Funds Assurance Committee. A request is then submitted to the Board Secretary for the proposal to be reviewed by the Corporate Trustee outside of the Board meeting. If the proposal is agreed against these criteria it is authorised by 2 members of the CFAC both must be a Director. The form is sent to the charity finance team for processing.

Equipment and property purchased through charitable funds is the property of the Trust; any staff leaving must ensure that all equipment and property purchased by the Charity is left at the Trust.

Approved applications for expenditure should reach the Finance Department (Charitable Funds) at least 5 working days before the funds are required.

18 Monitoring of Charitable Activity

Aspect of compliance or effectiveness being monitored	Monitoring method	Responsibility for monitoring (job title)	Frequency of monitoring	Group or Committee that will review the findings and monitor completion of any resulting action plan
<i>Promotion of the Charity will be monitored to ensure it follows the policy guidelines.</i>	<i>A review of the website, Intranet and promotional material will be undertaken on a twice yearly basis to ensure promotion clearly represents the values of the Charity</i>	<i>The Public Participation Programme Manager is responsible for monitoring promotional material.</i>	<i>Monitoring will be undertaken every 6 months commencing from January 2018</i>	<i>The Corporate Trustee is responsible for receiving the report and will ensure any resulting action is implemented.</i>
<i>The collection of monies and their effective management within the Charitable Fund pot.</i>	<i>A review of the incoming donations will be undertaken to ensure they are managed in accordance with wishes of fundraisers</i>	<i>The charity finance team</i>	<i>A monthly review supporting an annual report</i>	<i>The Corporate Trustee is responsible for receiving the report and will ensure any resulting action is implemented.</i>
<i>A review of the charitable fund spend</i>	<i>A review will be compiled grouping the type of expenditure</i>	<i>The charity finance team</i>	<i>A monthly review supporting an annual report</i>	<i>The Charitable Funds Assurance Committee receives an expenditure report and will</i>

Charity policy

	<i>together to ensure spend is aligned to need and charitable objectives</i>			<i>review and ensure any resulting action is implemented.</i>
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19 References

Charity Commission Guidance

<https://www.gov.uk/government/organisations/charity-commission/about/publication-scheme>

Appendix 1: Fundraising Event Proposal Form

Fundraising Event Proposal

Please fill out this form and submit by email to sath.charity@nhs.net or send to The SaTH Charity Office, Stretton House Royal Shrewsbury Hospital, Shrewsbury SY3 8QX



Organisation / area:			
Contact person:		Phone:	
Address:			
Postcode:		Email:	

EVENT INFORMATION			
Name of event:			
Planned date(s) of event:		Time of event	
Description of the Event:			
Are there other beneficiaries besides the Charity? If Yes , please list organisations:			
Location and address of event			

Charity policy

Is this a new event? If not, please give information on prior events including net proceeds	
How will revenue be generated through this event (sales, donations, ticket sales, auction,)?	
Who is your target audience	
Estimated revenue	
Estimated amount or percentage donated to the Charity	

EVENT PROMOTION			
How will you promote this event?			
Open to public	Y or N		
Promotion start date		Promotion end date	
Estimated support needed from the Charity?			
<p>General guidance</p> <p>All fundraiser proposals must be filed at least 30 days prior to the proposed promotion or event date in order to be approved by the Charity.</p> <p>Events must comply with Trust policy, including the Trust Charity Policy with particular reference to the arrangements for handling money and health and safety and safeguarding requirements.</p> <p>All fundraising for the Charity and its associated funds must be approved by the SaTH Charity office. BEFORE the event.</p> <p>The organisation and execution of the event is the sole responsibility of the event organiser. The organiser(s) must ensure that all activity is in line with Trust guidelines and policies.</p>			
Signature of event organiser		Date	

Appendix 2: Charitable Fund Expenditure Request Form (To be updated and made an online resource)



Charitable Expenditure Request Form



Date		Location		Department	
Fund reference		Fund Advisor		Division Manager	
Requisition details (Please forward all quotations and paperwork for all requests)					
Description to include product, colour, size etc and quantity			Suppliers name, address and reference if appropriate		
Total Cost					
This section to be completed for recurring costs if appropriate					
5% of equipment		No years		Total cost	
MES/IT/Estates codes					
Charitable Fund Details (please attach any quotes, receipts or additional information) Requests for payments for courses, conferences and study days must be accompanied by a flier which confirms the cost; applications for study leave forms are not considered proof of cost.					
Invoice number		Total Cost			
Purpose of expenditure					
Payment Instructions (to include payment name and Address)					

Charity policy

Requested by:		Signed		Contact number	
Approval section					
Fund Advisor (all Applications)	Name		Signature		
Divisional Dir. (over £2,000) if not on the fund's annual plan	Name		Signature		
Charity Manager/Snr Accountant	Name		Signature		
.	Signature		Signature		
Date of approval by the Charitable Funds Assurance Committee/ Corporate Trustee**					
Date of presentation to Capital Planning (if capital over £5,000)		Acknowledged by Capital Planning			
		Yes/No			
VAT Exempt Y/N		Requisition No		Order No	

Notes

All expenditure over £5,000 must be presented to the Capital Planning Group prior to submission to the CFAC.

Will mirror new approval limits if approved by the CFAC *Payments cannot be made in cash please allow sufficient time for payments to be organised. This request is to be E Mailed to sth-tr.charitablefundssath@nhs.net for more information contact Finance Department (ext. 1704 or the Charity Fundraising Office 1446)*

Appendix 3: Charitable Funds Assurance Committee Terms of Reference

THE SHREWSBURY AND TELFORD HOSPITAL NHS TRUST
CHARITABLE FUNDS Assurance COMMITTEE
TERMS OF REFERENCE

Constitution

The Trust Board resolves to establish a Committee of the Board to be known as the Charitable Funds Assurance Committee. As a Committee of the Trust Board, the Standing Orders of the Trust shall apply to the conduct of the Charitable Funds Assurance Committee

Membership

Two Non-Executive Directors
Director of Finance
Director of Public Participation
Senior Financial Accountant
Programme Manager Public Participation
Attendance when required:
Other managers/staff may be required to attend meetings depending upon issues under discussion with the prior approval of the Committee Chair. The Committee has the power to co-opt, or to require to attend, any member of Trust staff as necessary, and to commission input from external advisors as agreed by the Chair.

1. Quorum

A quorum shall be two members of the Committee, including one Non-Executive Director.

2. Attendance

Members may appoint suitable deputies to represent them. Deputies must attend when required. It is expected that a member or their nominated deputy will attend for a minimum of 75% of meetings in a year. Attendance will be monitored by an attendance matrix.

All members of the Corporate Trustee are eligible to attend.

3. Frequency of meetings

The Committee will meet a minimum of four times a year. One of these meetings will be held to consider the Annual Accounts and Report before submitting to the Corporate Trustee for approval.

4. Authority

The Committee is authorised by the Trust Board to investigate any activity within its Terms of Reference and is expected to make recommendations to the full Trust Board. It is authorised to seek any information it requires from any employee and all employees are directed to co-operate with any request made by the Committee.

The Committee is authorised by the Trust Board to obtain outside legal or other independent professional advice, and to secure the attendance of others from outside the Trust with relevant experience and expertise, if it considers it necessary. This authority will only be used in exceptional circumstances and prior approval of the Trust Board is required.

The Committee has no executive powers other than those specifically delegated in these Terms of Reference.

5. Duties

- i) To be accountable to the Corporate Trustee and ensure the on-going management of Charitable Funds is consistent with the objectives and operational framework set by the Corporate Trustee.
- ii) To ensure there are Corporate Trustee meetings at least six-monthly, or more frequently if required
- iii) To monitor compliance against Corporate Trustee policies, procedures and plans that include:

6. Terms of Reference of Charitable Funds Assurance Committee

Appropriate use of Charitable Funds

Appropriate sources of Charitable Funds

Investment Policy

Expenditure Plans

iv) To advise the Corporate Trustee and monitor compliance against the requirements of the Charities Acts and Charities Commission Guidance.

v) To consider the Annual Accounts and Report before submitting to the Corporate Trustee for approval.

vi) To monitor compliance against relevant internal audit reports and counter fraud initiatives and to report progress to the Corporate Trustee.

vii) To monitor the performance of Charitable Funds investments and report to the Corporate Trustee at least six-monthly, or more frequently if required

viii) To monitor the performance of the Charitable Funds Investment Manager(s) and advise the Corporate Trustee appropriately.

ix) To ensure, via the Director of Finance and the Finance Department, that Charitable Funds are managed in accordance with the Trust's Standing Financial Instructions.

x) To review the financial implications on any proposal for fund raising activities that the Trust may initiate, sponsor or approve.

xi) To co-ordinate and work with The League of Friends, Lingen Davies and other local charities on appropriate projects/schemes.

xii) To consider any resourcing of personnel to support and improve the visibility of the Trust's charitable objectives

7. Reporting from the Committee

The Committee will be directly accountable to the Board and will prepare a summary of the main actions/points at each meeting for presentation to the Board.

8. Reporting to the Committee

The Committee will routinely receive the following reports:

Investment and charitable funds activity

Fundraising update

9. Review

The Terms of Reference will be reviewed by the Trust Board.

Annually the Charitable Funds Assurance Committee will review its performance during the previous year, identify improvement measures and report its conclusions to the board.

REVIEWED AND APPROVED BY CHARITABLE FUNDS Assurance COMMITTEE NOVEMBER 2019

To be reviewed November 2020