Board of Directors' Meeting
10 February 2022

Agenda item	016/22			
Report	Risk Management Report			
Executive Lead	Director of Governance and Communications			
	Link to strategic pillar:		**Link to CQC domain:**	
	Our patients and community		Safe	√
	Our people		Effective	√
	Our service delivery		Caring	√
	Our partners		Responsive	√
	Our governance	√	Well Led	√
	Report recommendations:		**Link to BAF / risk:**	
	For assurance	√	All	
	For decision / approval		**Link to risk register:**	
	For review / discussion		All	
	For noting			
	For information			
	For consent			
Presented to:				
Dependent upon (if applicable)**:**	N/A			
Executive summary:	Our interim Head of Risk Management, Lisa Beresford, has made excellent progress with our new risk management structure, and has engaged with many colleagues and stakeholders regarding the framework – she is making very good progress. Lisa Beresford will be attending a Board Seminar later this month, to discuss all matters risk, with the Board members. A brief oversight of our current risk framework, with changes seen over the last 4 months, are included on the attached risk table. • Total number of risks on the risk register (last month comparison inbrackets) = 429 (427) • Total number of risks closed this month (ditto) = 16 (12) • Total number of new risks added this month (ditto) = 19 (26)			
Appendices	Risk table (appendix A)			
Lead Executive				

Introduction

1.1 Colleagues are asked to note the summary details from the Trust Risk Register for the period ending 31 January 2022. The full detailed reports were sent out across the Trust and divisions by email on 2 February 2022 and were received by over 100 colleagues. It is those detailed reports that are currently used by those with a responsibility for risk management in their areas to update and review their risks, noting whether new risks should be added, or older risks removed when mitigated.

1.2 The format of the report intends to provide an overview of the last four months, highlighting trends in the total numbers of risks reported, opened and closed.

Highlights

2.1 Details across divisions and corporate can be found on the appendix.

Progress with revised Risk Management Framework

3.1 The project to transfer of our risk registers from 4Risk to Datix, is well under way. Our new interim Head of Risk, Lisa Beresford, has been working on the new risk module and risk management toolkit, both of which are now complete.

3.2 As we review our plans for 2022/23, progress with the current project milestones is being tracked through our Getting to Good framework to provide additional assurance that progress is being made with our new risk management approach.

3.3 Risk Management will be featured as part of the Board of Directors Seminar, later this month, and the new framework and documentation will be introduced.

3.4 The revised suite of risk management documentation will be reviewed by the Audit and Risk Assurance Committee later this month, with a view to approval at the Board of Directors next month. This will take the place of the current risk management policy.

3.5 The refreshed Operational Risk Management Group will be re-established and is due to meet this month for the first time in over 18 months.

3.6 The task of transferring the +400 risks from 4Risk to the new datix platform (further to their review) will soon begin – some short-term resource will be required to support this task.

Anna Milanec
Director of Governance and Communications
February 2022

Appendix A

Description		January 2022	December 2021	November 2021	October 2021
Total number of open risks on the Trust risk register		429	427	429	446
Total number of risks added to the risk register (from last period)		19	26	37	17
Total number of risks closed on the risk register (from last period)		-16	-12	-37	-30
Total number of open risks on the Trust risk register by division:					
Surgery, anaesthetics and cancer		131	130	127	131
Medicine and Emergency Care		78	82	86	74
Women and Children's		59	55	64	58
Support Services		49	52	50	53
Corporate:					
Estates and Facilities		28	26	28	33
Finance		1	1	1	19
MD		6	6	6	6
Workforce		10	9	7	5
Governance		6	7	6	5
HTP		11	11	16	16
Ops (to be assigned to appropriate division)		16	13	13	13
Corporate Nursing		14	15	14	13
Information Tech		7	7	7	4
COVID		2	2	2	6
IPC		11	11	11	10