

Board of Director's Meeting 11 August 2022

Agenda item	164/22			
Report Title	Risk Management Report			
Executive Lead	Director of Governance and Communications			
Report Author	Laura Perkins Assurance Team Administrator and Lisa Beresford Interim Head of Risk			
	Link to strategic goal:		Link to CQC domain:	
	Our patients and community	√	Safe	√
	Our people	√	Effective	√
	Our service delivery	√	Caring	√
	Our governance	√	Responsive	√
	Our partners	√	Well Led	√
	Report recommendations:		Link to BAF / risk:	
	For assurance	√	All	
	For decision / approval		Link to risk register:	
	For review / discussion		All	
	For noting			
	For information			
	For consent			
Presented to:	27/07/22 Risk Management Committee 03/08/22 Audit and Risk Management Committee			
Executive summary:	<p>The monthly Risk Management Committee meeting – attended by individuals from across the Trust, including executive directors when pertinent – has met on a regular basis and is now embedded as business as usual, 6 months after being established. The Director of Governance and Communication formally chairs the meeting with the support of the Interim Risk Manager, Lisa Beresford. Feedback has been positive.</p> <p>This report provides similar risk information to that provided to the RMC – risk information from across the Trust on the first page but also more detailed data for each of the five divisions (4x clinical and 1x corporate).</p>			
Appendices	Appendix 1: Risk Report			
Executive Lead				

1.0 Current Position

- 1.1 Colleagues will be aware that we have successfully launched our new risk management system on DATIX (22 June 2022), which replaces the previous system which was held on 4Risk. Any new risks need to be added to DATIX as 4Risk will become read only.
- 1.2 Everyone with an existing DATIX log-in, is able to access the Risk Register module.
- 1.3 A dedicated channel namely, 'Risk Management SATH' has been set up in Teams, providing a huge library of governance and learning materials. Items include, the DATIX risk management toolkit, risk management policy, risk management process guide in line with ISO31000, bite sized DATIX 'How To..' recorded training sessions, and associated documents. There are currently 151 members accessing this channel.
- 1.4 As of 30 June 2022, 426 risks were open on the risk register, with 112 scoring ≥ 15 ("extreme"). The increase from previous month (104), is due to the 4Risk to DATIX transition.
- 1.5 Colleagues have been asked to review the detailed data for their own division with a view to reducing the numbers of overdue reviews, or overdue actions.
- 1.6 Divisional dashboards have been developed showing the data, for each of the divisions, so that progress can be tracked, and divisions held to account for lack of timely action. In response to requests, further area specific dashboards continue to be created where feasible.
- 1.7 There is recognition that it will take a few months for both end-users and the Risk Team to become familiar with the new system. During this time the data will build, and the new reporting methods will embed, i.e. trends in numbers of extreme risks, overdue risk reviews and overdue actions.
- 1.8 The 'current risk rating' is a mandatory field on DATIX, and where this information was missing in 4Risk, the 'initial rating' was utilised on DATIX. Owners of those specific 24 risks have been contacted and asked to quality check the risks as this can skew the reporting position.
- 1.9 The Trust Profile on the following page, provides an overview of the current position at the Trust, at the end of June 2022. The Audit and Risk Assurance Committee were provided with an extended version of the position at their meeting last week, which showed an in-depth position within the five divisions. If any Board members would like a copy of the fuller report, please contact me.

Anna Milanec
Director of Governance and Communications

Trust Profile– 30 June 2022

Total numbers of (ALL) risks on the operational risk register as at 30th June 2022.

(All data refers to five divisions, which includes 'corporate')

Risk Profile – Trust Wide (Current):	LOW (1-3)	MODERATE (4-6)	HIGH (8-12)	EXTREME (15-25)	TOTAL
1. Total Number of Risks and Current rating	9	52	253	112	426
2. Total Number of Opened Risks (New - last month)	0	3	13	0	18
3. Total Number of Closed Risks	0	2	3	0	5
4. Total Number of Overdue Review	9	41	192	83	325
5. Total Number of Overdue Actions	58	46	65	0	169

Open Risks by Division and Level	LOW (1-3)	MODERATE (4-6)	HIGH (8-12)	EXTREME (15-25)	Total
Surgery, Anaesthetics and Cancer	1	14	79	23	117
Medicine and Emergency Care	0	4	40	31	75
Women and Children's	0	8	47	13	68
Clinical Support Services	0	6	23	22	51
Corporate Division (Departments)	8	20	64	23	115
Total	9	52	253	112	426

Some of the oldest risks date back many years and are provided on the divisional details in this report. Details of these are provided at each RMC and Lisa Beresford / Laura Perkins are working with teams to review these risks to ascertain whether the risk is the same in 2022 as it was a number of years ago. In most cases, the cause or impact of the risk is likely to be different to the original status. Hence, this is an area of focus in order to ensure that the risk register is updated with current information.

Trust Wide - COVID related risks:

The table below details all risks currently held by Divisions, that have been generated as a direct result of COVID -19.

Active Risks - COVID (title/as a result of)	LOW (1-3)	MODERATE (4-6)	HIGH (8-12)	EXTREME (15-25)	TOTAL
Surgery, Anaesthetics and Cancer	0	2	2	0	4
Medicine and Emergency Care	0	0	3	0	3
Women and Children's	0	3	1	0	4
Clinical Support Services	0	1	1	0	2
Corporate Division (Departments)	0	0	3	1	4
Total	0	6	10	1	17