

## Board of Directors' Meeting 9 February 2023

<b>Agenda item</b>	017/23			
<b>Report Title</b>	Risk Management Report – Quarter 3, 2022/2023			
<b>Executive Lead</b>	Director of Governance and Communications			
<b>Report Author</b>	Laura Perkins Assurance Team Administrator Lisa Beresford Interim Head of Risk			
	<b>Link to strategic goal:</b>		<b>Link to CQC domain:</b>	
	Our patients and community	√	Safe	√
	Our people	√	Effective	√
	Our service delivery	√	Caring	√
	Our governance	√	Responsive	√
	Our partners	√	Well Led	√
	<b>Report recommendations:</b>		<b>Link to BAF / risk:</b>	
	For assurance	√	All	
	For decision / approval		<b>Link to risk register:</b>	
	For review / discussion			
	For noting			
	For information			
	For consent			
<b>Presented to:</b>	Risk Management Committee 24 January 2023 Audit and Risk Assurance Report 1 February 2023 (more detailed report)			
<b>Executive summary:</b>	<p>This report provides an overview of the Trust's Risk Register as at 31 December 2022, and has been presented (in various format) to RMC and ARAC as detailed above.</p> <p>As colleagues will be aware, our interim Head of Risk Management, Lisa Beresford, left the organisation on 3 February 2023. We also held interviews with five very capable candidates for her replacement on the same date.</p>			
<b>Appendices</b>				
<b>Executive Lead</b>				

## 1. Current Position

- 1.1 As at the end of Q3 **416 (416) active risks** recorded on the DATIX Risk Register. Of which the total number of **extreme risks** (rated 15 and above) currently stands at **95 (111)**.
- 1.2 There are a total of **177 (91) risks Overdue Review**.
- 1.3 There are a total of **280 (264) risks Overdue Actions**. The increase may be due to staff dealing with operational pressures during the latter part of the quarter with the onset of winter, i.e. critical incidents, and becoming more familiar with the manual Datix reminder system.
- 1.4 Colleagues have been asked to review the detailed data for their own division with a view to reducing the numbers of overdue reviews, or overdue actions. Since raising this issue through the RMC and ARAC, a solution has been found which will make this reporting more transparent.
- 1.5 Divisional dashboards have been developed showing the data, for each of the divisions, so that progress can be monitored, and divisions held to account for lack of timely action. Specific dashboards continue to be developed where requested and feasible, the most recent being to support the Quality Operational Committee meetings.
- 1.6 To continue the process of developing staff knowledge and competence in the area of risk an initial 4 sessions on *Risk Management Process - Tools and Techniques* will take place in spring 2023.
- 1.7 The new sections added to report are:
  - a. Top 5 recently opened risks currently rated as extreme (15-25). Added to each Divisions current risk profile update
  - b. Key Risk Messages, detailing all relevant new and emerging risks and issues, that could impact on our Organisation

## Appendix 1: Risk Report – Quarterly

### Trust Profile

Total numbers of (ALL) risks on the trust risk register at quarter end.

(All data refers to five divisions, which includes 'corporate')

#### Quarter 3

Risk Profile – Trust Wide (Current):	LOW (1-3)	MODERATE (4-6)	HIGH (8-12)	EXTREME (15-25)	TOTAL
1. Total Number of Risks and Current rating	11	63	247	95	416
2. Total Number of Opened Risks (New - last mth)	0	3	0	1	4
3. Total Number of Closed Risks	1	1	2	1	5
4. Total Number of Overdue Review	4	22	101	50	177
5. Total Number of Overdue Actions	76	77	127	0	280

Open Risks by Division and Level	LOW (1-3)	MODERATE (4-6)	HIGH (8-12)	EXTREME (15-25)	TOTAL
Surgery, Anaesthetics and Cancer	3	14	85	17	119
Medicine and Emergency Care	0	5	40	29	74
Women and Children's	0	8	37	10	55
Clinical Support Services	0	13	19	21	53
Corporate Division (Departments)	8	23	66	18	115
<b>Total</b>	<b>11</b>	<b>60</b>	<b>247</b>	<b>95</b>	<b>416</b>

#### Quarter 2 (for comparison)

Risk Profile – Trust Wide (Current):	LOW (1-3)	MODERATE (4-6)	HIGH (8-12)	EXTREME (15-25)	Total
1. Total Number of Risks and Current rating	11	52	242	111	416
2. Total Number of Opened Risks (New - last month)	0	0	2	0	2
3. Total Number of Closed Risks	3	2	3	2	10
4. Total Number of Overdue Review	1	12	51	27	91
5. Total Number of Overdue Actions	65	83	116	0	264

Open Risks by Division and Level	LOW (1-3)	MODERATE (4-6)	HIGH (8-12)	EXTREME (15-25)	Total
Surgery, Anaesthetics and Cancer	3	14	75	25	117
Medicine and Emergency Care	0	5	39	27	71
Women and Children's	0	5	47	12	64
Clinical Support Services	0	9	19	23	51
Corporate Division (Departments)	8	19	62	24	113
<b>Total</b>	<b>11</b>	<b>52</b>	<b>242</b>	<b>111</b>	<b>416</b>